Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| 5 | | | |
|---|------------------------------|---|-----------------------------------|
| | In re Patent Application of |) | |
| | |) | |
| | Chih-Chung Chuang, |) | Group Art Unit: 1765 |
| | Shin-Jien Kuo, |) | |
| | Chao-Yun Cheng, |) | Examiner: GEORGE, PATRICIA ANN |
| | Shu-Feng Wu |) | |
| | |) | Appeal No. |
| | Application No.: 10/708,642 |) | |
| | |) | Attorney's Docket No. ADTP0066USA |
| | Filed: March 17, 2004 |) | |
| | |) | |
| | For: METHOD FOR FABRICATING |) | |
| | LIQUID CRYSTAL DISPLAY PANEI | ی | |
| | ARRAY | | |

BRIEF FOR APPELLANT

Assistant Commissioner for Patents

Washington, D.C. 20231

Sir:

15

This appeal is from the decision of the Examiner dated 03/09/2007, finally rejecting claims 1-12, which are reproduced as an Appendix to this brief.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 50-3105. This paper is submitted in triplicate.

INTRODUCTION

10

15

20

25

30

A. Real Party in Interest

The present application is assigned to AU Optronics Corp.

5 B. Related Appeals and Interferences

The legal representative and assignee do not know of any other appeals or interferences which will affect or be directly affected by or have bearing on the Board's decision in the pending appeal.

C. Status of Claims

Responsive to the first Official Action in this application, on November 16, 2005, an Amendment was filed to amend claims 1, 3, 7, 14, 16 and 20 in response to the Examiner's objections, 35 U.S.C. 112, second paragraph and 35 U.S.C. 103 (a) rejections set forth in the first Official Action.

Responsive to the first final Official Action in this application on April 13, 2006, an Amendment was filed canceling claim 16 without prejudice and amending claim 13 in response to the Examiner's objections, 35 U.S.C. 102 (e) rejections set forth in the first final Official Action.

Responsive to the second Official Action in this application on January 4, 2007, an Amendment was filed amending claim 1 in response to the Examiner's objections, 35 U.S.C. 103 (a) rejections set forth in the second Official Action. Hence, claims 1-15 and 17-20 are currently pending in this application.

Responsive to the second final Official Action in this application on May 28, 2007, an Amendment was filed canceling claim 6 in response to the Examiner's objections, 37 C.F.R. 1.75 (c) rejections set forth in the second final Official Action and amending claim 3. Hence, claims 1-5, 7-15 and 17-20 are currently pending in this application.

Pursuant to 37 C.F.R. §1.191(a), applicant hereby appeals the Examiner's decision finally rejecting claims 1-5 and 7-12 to the Board of Patent Appeals and Interferences.

D. Status of Amendments

A second Official Action was issued on 08/17/2006 rejecting claims 1-12 under 35 U.S.C. §103(a). In response to the second Official Action, applicant filed a response and an amendment arguing that the application is patentable over the cited references. The subsequently issued second final Official Action dated 03/09/2007, indicated claims 1-12 being rejected.

A copy of the claims at issue on appeal is attached as Appendix A.

SUMMARY OF THE INVENTION¹

5

10

15

20

25

The primary object of the present invention is to provide an improved method for fabricating liquid crystal display devices, thereby alleviating or eliminating Mura defects of LCD panels.

Another object of the present invention is to provide a method for forming a Mo/AlNd, MoW/AlNd, or MoW/Al dual-layer metal array of LCD panels by using End-Point Detection (EPD) instead of prior art time-mode etching.

The preferred embodiment of the present invention includes the steps of providing a substrate having a main surface; depositing a dual-metal layer such as Mo/AlNd, MoW/AlNd, or MoW/Al onto the main surface of the substrate; defining gate and word line patterns using layers of photoresists; and using the photoresists as an etching mask, a first metal dry etching process is carried out to etch the dual-metal layer at an etching selectivity that is significantly higher than prior art. The first metal dry etching process uses oxygen/fluorine containing an etching gas mixture and oxygen/chlorine containing an etching gas mixture to form the dual-metal gate and word line patterns having slightly oblique sidewalls. End point detection mode is used in the first metal dry etching process.

¹ This summary is provided in accordance with 37 C.F.R. □1.192(5) and Section 1206 of the Manual of Patent Examining and Procedure, and is not intended to limit the subject matter of the claimed invention to the specific embodiment described herein.

The present invention is emphasized on the improvement of uniformly etching of the upper metal of the dual-metal layer. Further, the etching selectivity between the upper metal and the lower metal of the dual-metal layer is increased such that more reliable end-point detection in the first metal dry etching process can be used. In addition, to avoid so-called white pad effects, the ashing rate of the photoresist is reduced due to recipe change.

THE REJECTIONS, REFERENCES AND EXAMINER'S POSITION

A. The Rejections

5

10

15

20

25

30

Claims 1, 6 and 7 stands rejected under 35 U.S.C. §103(a) as being unpatentable over Hong et al. (USPN 6429057) in view of Rioux (USPN 5554488) and Kim et al. (4981816).

Claim 2 is rejected under 35 U.S.C. §103(a) as being unpatentable over Hong et al. (USPN 6429057) and Rioux (USPN 5554488) in view of Kim et al. (4981816) and Przybysz et al. (USPN 4904980).

Claims 3, 9, 10, 11 and 12 is rejected under 35 U.S.C. §103(a) as being unpatentable over Hong et al. (USPN 6429057), Rioux (USPN 5554488) and Kim et al. (4981816) in view of Hori et al. (USPN 5445710).

Claim 4 is rejected under 35 U.S.C. §103(a) as being unpatentable over Hong et al. (USPN 6429057) Rioux (USPN 5554488) and Kim et al. (4981816) in view of Cheung et al. (USPN 5354417).

Claim 5 is rejected under 35 U.S.C. §103(a) as being unpatentable over Hong et al. (USPN 6429057) Rioux (USPN 5554488) and Kim et al. (4981816) in view of Celii et al. (USAN 10/282,621).

Claim 8 is rejected under 35 U.S.C. §103(a) as being unpatentable over Hong et al. (USPN 6429057) Rioux (USPN 5554488) and Kim et al. (4981816) in view of Nagata et al. (JP405067590A).

B. The References

i. Hong et al. (USPN 6429057)

Hong et al. discloses a front end array process for making an LCD panel (col. 1.7-11), comprising: depositing a molybdenum-containing metal gate layer which consists of gate line, gate pads, and gate electrodes that can have a single or multiple layered structure and is deposited on a silicon substrate. Hong teaches the use of photolithography masking followed by dry etch to pattern the molybdenum-containing metal layer for forming both gate and data wire, but Hong fails to teach substantially oblique sidewalls.

ii. Rioux (USPN 5554488)

5

10

15

20

25

30

Rioux discloses a method of forming a semiconductor structure. The method provides for a gate structure comprising a multilayer metal stack characterized by smoothly tapered sidewalls (i.e. the resulting gate structure 54, as shown in FIG. 10), with substantially no undercut in which the taper angle may be controlled. The tapered gate structure 54A comes from the second conductive layer 48 deposited over the tungsten silicide layer 46 (FIG. 7). The discontinuity 50 over the sidewall propagates into the tungsten layer 48.

iii. Kim et al. (4981816)

Kim et al. teaches a metal for fabricating contact structure through via opening in VLSI circuits employs a dual layer of refractory metal. First a thin titanium layer is deposited, over which a molybdenum layer is formed. An annealing treatment further improves contact resistance characteristics. A preferred etch resolution is achieved using RIE of molybdenum, etched until gas is cut off at the detection of the molybdenum end point.

C. The Examiner's Position

The Examiner's apparent position with respect to the rejections based on 35 U.S.C. §103(a), is that Rioux discloses a conventional method of forming Mo containing metal gate with tapered sidewalls, i.e. oblique sidewalls, formed on the surface of a semiconductor substrate through use of well known photolithography and dry etching method as recited in claim 1. The Examiner recognizes that Hong fails to teach substantially oblique sidewalls. The Examiner has attempted to remedy this

deficiency by attempting to combine the tapered sidewalls disclosed in Rioux reference with Hong et al. (USPN 6429057).

IV. <u>ISSUES</u>

5

10

15

20

30

The issue on appeal is whether claim 1 is properly rejected under 35 U.S.C. §103(a) as being unpatentable over over Hong et al. (USPN 6429057) in view of Rioux (USPN 5554488). This issue is discussed below under Section V.

V. ARGUMENT

The Rejection of Claims 1 Under 35 U.S.C. §103(a) is Improper

Independent claim 1 defines a front-end array process for making a liquid crystal display panel. The method includes depositing a molybdenum-containing metal layer on a glass substrate, wherein said molybdenum-containing metal layer is a dual-metal layer; forming a patterned photoresist on said molybdenum-containing metal layer, wherein said patterned photoresist defines a gate and word line array pattern; and using said patterned photoresist as an etching mask, uniformly etching said molybdenum-containing metal layer to form said gate and word line array pattern having substantially oblique sidewalls ... In other words, the substantially oblique sidewalls is the direct result of the uniformly etching of the molybdenum-containing metal layer.

The Examiner attempts to combine the method disclosed in Hong et al. (USPN 6429057) in view of the <u>smoothly tapered sidewalls</u> of Rioux (USPN 5554488) and Kim et al. to achieve the claimed invention.

25

2143.03 All Claim Limitations Must Be Taught or Suggested

To establish *prima facie* obviousness of a claimed invention, all the claim limitations must be taught or suggested by the prior art. *In re Royka*, 490 F.2d 981, 180 USPQ 580 (CCPA 1974). "All words in a claim must be considered in judging the patentability of that claim against the prior art." *In re Wilson*, 424 F.2d 1382, 1385, 165 USPQ 494, 496 (CCPA 1970). If an independent claim is nonobvious under 35

U.S.C. 103, then any claim depending therefrom is nonobvious. *In re Fine*, 837 F.2d 1071, 5 USPQ2d 1596 (Fed. Cir. 1988).

5

10

15

20

25

30

i. Improper Combination

The Examiner alleges that Hong et al. discloses a front end array process for making the LCD panel (col. 1.7-11), comprising: depositing a molybdenum-containing metal gate layer which consists of gate line, gate pads, and gate electrodes that can have a single or multiple layered structure and is deposited on a silicon substrate. Hong teaches the use of photolithography masking followed by a dry etch to pattern the molybdenum-containing metal layer for forming both gate and data wire, but fails to teach substantially oblique sidewalls. The Examiner relies on the smoothly tapered sidewalls of Rioux to overcome this deficiency. However, the applicants point out that the profile of the smoothly tapered sidewalls of Rioux is not formed by etching but by deposition.

The <u>smoothly tapered sidewalls</u> of Rioux are first shown in FIG. 7. A second conductive layer 48 comprising tungsten is deposited over the tungsten silicide layer 46 (FIG. 7). Simultaneously, <u>the tapered discontinuity 50 over the sidewall propagates into the tungsten layer 48</u>, which establishes the tapered profile. In other words, <u>the deposition of the conductive layer 48</u> is the <u>essential reason for the formation of the tapered gate structure 54</u>.

The Examiner asserts that "Rioux discloses a conventional method of forming Mo containing metal gate with tapered sidewalls, i.e. oblique sidewalls, formed on the surface of a semiconductor substrate through use of well known photolithography and dry etching method." (emphasis added) Applicants disagree with this assertion. Given the above, as a matter of fact the deposition of the conductive layer 48 is the essential reason of the formation of the tapered gate structure 54, NOT by the so-called "well known photolithography and dry etching." It appears that the Examiner is attempting to use non-analogous art and incorrect combination reasoning in attempting to achieve the claimed invention.

In the light of the above reasons and lack of disclosure of every feature, the applicants firmly believe that these distinct features distinguish the present invention from the combination of cited prior art references. To sum up, claims 1 is patentable over Hong et al. (US 6,429,057) in view of Rioux (US 5,554,488) and Kim et al.

Furthermore, as claims 2-5 and 7-12 are dependent upon claim 1, they are in the condition for allowance

VI. <u>CONCLUSION</u>

For at least the reasons set forth above, it is respectfully submitted that the rejection of claims 1-5 and 7-12 are improper and should be reversed.

Respectfully submitted,

15 Sincerely yours,

5

| 101/11 don bland | | | |
|---|-------|------------|--|
| 000000000000000000000000000000000000000 | Date: | 05/30/2007 | |

Winston Hsu, Patent Agent No. 41,526

P.O. BOX 506, Merrifield, VA 22116, U.S.A.

20 Voice Mail: 302-729-1562

Facsimile: 806-498-6673

e-mail: winstonhsu@naipo.com

Note: Please leave a message in my voice mail if you need to talk to me. (The time in

D.C. is 12 hours behind the Taiwan time, i.e. 9 AM in D.C. = 9 PM in Taiwan.)

APPENDIX

The Appealed Claims

5

- 1. (Previously presented) A front-end array process for making a liquid crystal display panel, comprising:
- depositing a molybdenum-containing metal layer on a glass substrate, wherein said molybdenum-containing metal layer is a dual-metal layer;
- forming a patterned photoresist on said molybdenum-containing metal layer, wherein said patterned photoresist defines a gate and word line array pattern; and using said patterned photoresist as an etching mask, uniformly etching said molybdenum-containing metal layer to form said gate and word line array pattern having substantially oblique sidewalls, wherein said etching of said molybdenum-containing metal layer uses gas mixture, wherein said etching of said molybdenum-containing metal layer is detected by an end-point detection method.
 - 2. (Original) The front-end array process for making a liquid crystal display panel according to claim 1 wherein after said etching of said molybdenum-containing metal layer, an over etching is carried out.
 - 3. (Previously presented) The front-end array process for making a liquid crystal display panel according to claim 1 wherein said gas mixture is SF6/O2 having a ratio of about 700sccm/300sccm.

25

20

- 4. (Original) The front-end array process for making a liquid crystal display panel according to claim 1 wherein said etching of said molybdenum-containing metal layer is executed under a process pressure higher than 25 mTorr.
- 5. (Original) The front-end array process for making a liquid crystal display panel according to claim 1 wherein said etching of said molybdenum-containing metal layer is further controlled by a source power, a bias power, process pressure, oxygen

flowrate and flowrate of fluorine containing gas.

- 6. (Canceled)
- 7. (Previously presented) The front-end array process for making a liquid crystal display panel according to claim 6 wherein said dual-metal layer is Mo/AlNd, MoW/AlNd, or MoW/Al, wherein Mo and MoW are top layers, while AlNd and Al are bottom layers.
- 8. (Original) The front-end array process for making a liquid crystal display panel according to claim 1 wherein said etching of said molybdenum-containing metal layer is detected by an end-point detection method at an wavelength of about 704nm.
- 9. (Original) The front-end array process for making a liquid crystal display panel according to claim 1 wherein said gas mixture is oxygen/fluorine containing.
 - 10. (Original) The front-end array process for making a liquid crystal display panel according to claim 1 wherein said gas mixture is oxygen/chlorine containing.
- 20 11. (Original) The front-end array process for making a liquid crystal display panel according to claim 1 wherein said gas mixture is oxygen/chlorine/fluorine containing.
 - 12. (Original) The front-end array process for making a liquid crystal display panel according to claim 1 wherein said gas mixture is SiF6/O2 containing.

25

TABLE OF CONTENTS

| PAGE | |
|---|------|
| TRODUCTION | I. |
| Real Party in Interest2 | |
| Related Appeals and Interferences2 | |
| Status of Claims2 | |
| Status of Amendments2 | |
| MMARY OF THE INVENTION3 | II. |
| E REJECTIONS, REFERENCES AND EXAMINER'S | III. |
| SITION4 | |
| The Rejections4 | |
| The References4 | |
| i. Hong et al. (USPN 6429057)4 | |
| ii. Rioux (USPN 5554488)5 | |
| iii. Kim et al. (USAN 2003/0122987)5 | |
| iv. Hori et al. (USPN 5445710) | |
| v. Przybysz et al. (USPN 4904980) | |
| vi. Cheung et al. (USPN 5354417) | |
| vii. Celii et al. (USAN 10/282,621) | |
| viii. Nagata et al. (JP405067590A) | |
| The Examiner's Position5 | |
| UES | IV. |
| GUMENT | V. |
| Rejection of Claim 1 Under 35 U.S.C. §103(a) is Improper6 | |
| NCLUSION8 | VI. |